

**Acknowledgment for Health  
Care Insurance (999)  
Version 5010**

## HIPAA 5010 999 Transactions

Naming Format: "999\_input filename\_internal file ID.input file extension (if present)\_date-time"

Errors found on the 999 are compliance (format) errors identified in the TR3 (Implementation Guide). For more information on how to read the 999 Acknowledgment, please refer to the TR3 (Implementation Guide), or your software vendor.

The following 999 Acknowledgment is an example of an accepted file.

- An 'A' in the AK9 is accepted without errors.
- An 'E' in the AK9 is accepted with errors.

### Example of a Successful 999 Acknowledgment

```
ISA*00*          *00*          *ZZ*ASK          *ZZ*0001234
*170411*1351*^*00501*000000001*0*P*+'
GS*FA*BCBSKS*0001234*20170411*1351*1*X*005010X231A1'
ST*999*0001*005010X231A1'
AK1*HC*300000337*005010X223A2'
AK2*837*000000001*005010X223A2'
IK5*A'
AK9*A*1*1*1' ← File accepted
SF*6*0001'      without errors.
GE*1*1'
IEA*1*000000001'
```

The following 999 Acknowledgment provides a sample of the various responses available.

- The IK5 with an E, shows the batch is accepted with errors.
- The E listed in the AK9 indicates the file was accepted with errors.
- If a P is found at the AK9 level, the rejected batches can be found by locating the IK5 with an R.

Example of a Rejected 999 Acknowledgment

```
ISA*00*      *00*      *ZZ*ASK INC      *ZZ*0003000
*170118*1123*^*00501*000000001*0*T*+
GS*FA*RCVR*SNDP*20170118*1123*1*X*005010X231A1'
ST*999*0001*005010X231A1'
AK1*HC*5*005010X222A1'
AK2*837*0001*005010X222A1'
IK3*NM1*46*2310*8'
CTX*CLM01+112242'
IK4*4*1036*I9'
CTX*SITUATIONAL TRIGGER*NM1*46**2*1065'
IK5*E*I5'
AK9*E*1*1*1'
SE*10*0001'
GE*1*1'
IEA*1*000000001'
```

The diagram shows two callout boxes with arrows pointing to specific fields in the acknowledgment. The first callout box, labeled "Accepted with Errors", has an arrow pointing to the IK5\*E\*I5' field. The second callout box, labeled "Accepted, but errors were noted", has an arrow pointing to the AK9\*E\*1\*1\*1' field.

**Note:** In the previous examples the term "batch" is used to indicate one ISA through IEA and the term "file" indicates multiple ISA's through IEA's within a transmission.